

02/11/02
U.S. PTO2-13-02
A

PATENT APPLICATION TRANSMITTAL LETTER

(Small Entity)

Docket No.
7385-83653TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Steven Nelson et al.

For: OPTICAL ATTENUATING UNDERCHIP ENCAPSULANT

02/11/02
Jc10/073462 PRO
USPTO

Enclosed are:

- Certificate of Mailing with Express Mail Mailing Label No. EL854694364US
 4 sheets of drawings.
 A certified copy of a application.
 Declaration Signed. Unsigned.
 Power of Attorney
 Information Disclosure Statement
 Preliminary Amendment

 Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.

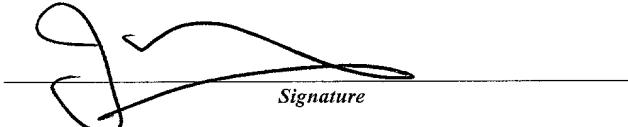
- Other: Specification, Claims and Abstract (13 pages)

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	27	- 20 =	7	x \$9.00	\$63.00
Indep. Claims	4	- 3 =	1	x \$42.00	\$42.00
Multiple Dependent Claims (check if applicable)	<input type="checkbox"/>				\$0.00
				BASIC FEE	\$370.00
				TOTAL FILING FEE	\$475.00

- A check in the amount of \$475.00 to cover the filing fee is enclosed.
 The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-0920 as described below. A duplicate copy of this sheet is enclosed.
 Charge the amount of as filing fee.
 Credit any overpayment.
 Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: February 11, 2002


Signature

CC:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$515.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Steve Nelson
Examiner Name	
Group Art Unit	
Attorney Docket No.	7385-83653

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number	23-0920
Deposit Account Name	Welsh & Katz, Ltd.

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113 1,840*		113 1,840*		Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
Total Claims	27 -20** = 7 X 9.00 = 63.00			122	130 Petitions to the Commissioner
Independent Claims	4 - 3** = 1 X 42.00 = 42.00			123	50 Processing fee under 37 CFR § 1.17(q)
Multiple Dependent				126	180 Submission of Information Disclosure Statement
				581	40 Recording each patent assignment per property (times number of properties) 40.00
				146	740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))
				149	740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))
				179	740 279 370 Request for Continued Examination (RCE)
				169	900 169 900 Request for expedited examination of a design application
					Other fee (specify) _____

SUBTOTAL (2) \$105.00

**or number previously paid, if greater; For Reissues, see above

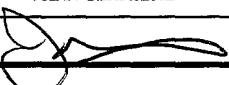
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Jon P. Christensen	Registration No. (Attorney/Agent)	34,137	Telephone	312-655-1500
Signature			Date	February 11, 2002	

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Certificate of Express Mailing

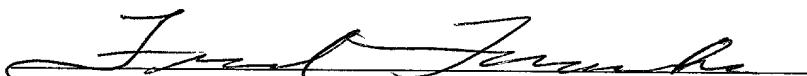
Express Mail mailing label number EL854694364US

Date of Deposit: February 11, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee. Service under 37 CFR 1.10 is on the date indicated above and addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231. The person mailing this paper is:

Fred Franks

Typed or Printed Name of Person Mailing Paper or Fee



Signature of Person Mailing Paper or Fee